SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Suydam Joh	ress of Reporting F <u>1n J</u>	Person*	2. Issuer Name and Ticker or Trading Symbol Apollo Global Management, Inc. [APO]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner							
(Last) (First) (Middle) C/O APOLLO GLOBAL MANAGEMENT, INC.			3. Date of Earliest Transaction (Month/Day/Year) 01/26/2023	x	Officer (give title below) Chief Legal	Other (specify below)						
C/O APOLLO GLOBAL MANAGEMENT, INC. 9 WEST 57TH STREET, 42ND FLOOR (Street) NEW YORK NY 10019 (City) (State) (Zip)		10019	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person							
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	V Amount (A) or (D) Price Transaction(s) (Instr. 3 and 4)			(Instr. 4)			
Common Stock	01/26/2023		S ⁽¹⁾		33,333	D	\$70	328,962(2)	D	
Common Stock	01/27/2023		S ⁽¹⁾		18,552	D	\$71	310,410	D	
Common Stock	01/27/2023		S ⁽³⁾		14,781	D	\$71	49,479 ⁽⁴⁾	I	Suydam 2012 Family Trust
Common Stock								10,307 ⁽⁵⁾	I	Kalmia Investments LLC - Series A

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned nute calle war

	(e.g., puts, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		n Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. The sales by the reporting person reported in this Form 4 were effected pursuant to a Rule 10b5-1 plan adopted by the reporting person on December 7, 2022.

2. Reported amount includes 132,817 vested and unvested restricted stock units ("RSUs"). Each RSU represents the contingent right to receive, in accordance with the issuance schedule set forth in the applicable RSU award agreement, one share of common stock of the Issuer for each vested RSU. The RSUs vest in installments in accordance with the terms of the applicable RSU award agreement, provided the reporting person remains in service through the applicable vesting date.

3. The sales by the Suydam 2012 Family Trust reported in this Form 4 were effected pursuant to a Rule 10b5-1 plan adopted by the Suydam 2012 Family Trust on December 7, 2022.

4. These shares are held in the Suydam 2012 Family Trust for the benefit of the reporting person's spouse and children for which the reporting person's spouse is the trustee (the "2012 Trust"). The reporting person disclaims beneficial ownership of all securities held by the 2012 Trust except to the extent of the reporting person's pecuniary interest therein.

5. These shares are held by Kalmia Investments LLC - Series A ("Kalmia"). The reporting person owns 30% of Kalmia, and the remaining 70% of Kalmia is owned by the Suydam GST Exempt Trust for the benefit of the reporting person's grandchildren for whom the reporting person's spouse is the trustee (the "GST Trust"). The reporting person disclaims beneficial ownership of 70% of the securities owned by Kalmia, as they will ultimately be distributed to the GST Trust.

Remarks:

/s/ Jessica L. Lomm, as Attorney-01/30/2023 in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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